

Agenda Date: 9/27/23 Agenda Item: 6B

STATE OF NEW JERSEY Board of Public Utilities 44 South Clinton Avenue, 1<sup>st</sup> Floor Post Office Box 350 Trenton, New Jersey 08625-0350 www.nj.gov/bpu/

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**RELIABILITY & SECURITY** 

IN THE MATTER OF ALLEGED VIOLATIONS OF THE UNDERGROUND FACILITY PROTECTION ACT, N.J.S.A. 48:2-73 TO 91 BY BLACK ROCK ENTERPRISES, LLC FINAL ORDER OF PENALTY ASSESSMENT

DOCKET NO. GS23080563K

Party of Record:

Black Rock Enterprises, LLC

BY THE BOARD:

The New Jersey Board of Public Utilities ("Board") has jurisdiction to oversee and enforce the provisions of the Underground Facility Protection Act, N.J.S.A. 48:2-73 to 91 ("Act"). By this Order, the Board considers the issuance of a Final Order of Penalty Assessment ("FOPA") pursuant to N.J.A.C. 14:2-6.6 and N.J.A.C. 14:7-2.4 regarding Black Rock Enterprises, LLC ("Black Rock" or "Respondent").

#### BACKGROUND

The Act established the One-Call Damage Prevention System ("One-Call System") for the protection of underground facilities that are used for the conveyance of water, forced sewage, telecommunications, cable television, electricity, oil, petroleum products, gas, optical signals, traffic control, or for the transportation of a hazardous liquid. In declaring its findings and the purpose of the Act, the Legislature stated, in pertinent part:

That damage to underground facilities caused by excavation and the discharge of explosives poses a significant risk to the public safety; that such damage to underground natural gas facilities poses a substantial risk to the public safety; and that the implementation of a comprehensive One-Call Damage Prevention System can substantially reduce the frequency of damage caused by these activities.

The Legislature therefore determines that it is in the public interest for the State to require all operators of underground facilities to participate in a One-Call Damage Prevention System and to require all excavators to notify the One-Call Damage Prevention System prior to excavation or demolition.

[N.J.S.A. 48:2-74.]

The Act defines "excavator" as "any person performing excavation or demolition" and "operator" as "a person owning or operating, or controlling the operation of, an underground facility . . . ." N.J.S.A. 48:2-75.

The Act subjects violators of its provisions to civil penalties of not less than \$1,000 and not more than \$2,500 per violation per day, not to exceed \$25,000 for any related series of violations. N.J.S.A. 48:2-88(a). Violations relating to natural gas or hazardous liquid underground pipelines or distribution facilities shall subject the violator to civil penalties not to exceed \$200,000 per violation per day and not to exceed \$2,000,000 for any related series of violations. N.J.S.A. 48:2-86(c). In addition, a violator may be assessed the cost of any Board investigation, inspection or monitoring survey which leads to the establishment of a violation and for the reasonable costs of preparing and litigating the matter. N.J.S.A. 48:2-86(b)(2).

#### PROCEDURAL HISTORY

On October 21, 2022, New Jersey Natural Gas Company ("NJNG") filed a report concerning a natural gas facility damage that occurred on October 13, 2022. Based upon a review of the damage report and information provided pertaining thereto, Board Staff ("Staff") found that Black Rock: 1) engaged in excavation as defined by the Act; 2) failed to use reasonable care at the time of excavation in violation of N.J.S.A. 48:2-82(d)(3); and 3) on October 13, 2022, damaged an underground natural gas facility operated by NJNG at 1 Brookside Circle, Marlboro, New Jersey.

#### Notice of Probable Violation

On December 21, 2022, pursuant to N.J.A.C. 14:2-6.4 and N.J.A.C. 14:7-2.2, Staff issued a Notice of Probable Violation ("NOPV") to Black Rock. <u>See</u> Attachment 1. The NOPV was served via regular and certified mail and included an Answering Certification. According to United States Postal Service tracking, the certified mail and Answering Certification was delivered on January 3, 2023. <u>See</u> Attachment 2. The NOPV advised Black Rock that failure to file an Answering Certification within 21 days may result in the issuance of a FOPA, as required by N.J.A.C. 14:2-6.4(b)(5)(i) and N.J.A.C. 14:7-2.2(b)(5)(i).

#### Notice of Settlement Conference

On May 9, 2023, Black Rock was served with a Notice of Settlement Conference ("Notice") pursuant to N.J.A.C. 14:2-6.5(b) in response to Answering Certifications returned by Respondent asking Staff to consider mitigating circumstances for two other NOPVs issued to Respondent for alleged violations of the Act.<sup>1</sup> <u>See</u> Attachment 3. The Notice provided Black Rock an opportunity to discuss the cases referenced in the Answering Certifications and the October 13, 2022 damage at 1 Brookside Circle, Marlboro, New Jersey. According to United States Postal Service tracking, the certified mail was delivered on May 12, 2023 to Respondent's address of record. <u>See</u> Attachment 4. An informal settlement conference was scheduled for May 25, 2023, providing Respondent an opportunity to discuss multiple outstanding NOPVs including the October 13, 2022 damage.

<sup>&</sup>lt;sup>1</sup> Black Rock provided Answering Certifications to Board Staff's NOPVs for Case Numbers GOC2022-0037 and GOC2016-0380 asking Board Staff to consider mitigating circumstances.

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The Notice advised Black Rock that failure to appear on the scheduled date and time would result in a default as provided in N.J.A.C. 14:2-6.6(a), and the allegations provided in the NOPVs would be deemed uncontested. Black Rock failed to appear on May 25, 2023, and to date, Staff has no record of a response from Respondent concerning the notice to appear. Respondent is, therefore, deemed in default pursuant to N.J.A.C. 14:2-6.6(a).

#### Failure to File the Answering Certification and /or Appear

As Black Rock failed to respond to the December 21, 2022 NOPV and appear on May 25, 2023 for a scheduled settlement conference, the Respondent is deemed to be in default pursuant to N.J.A.C. 14:2-6.6(a). The Board is, therefore, not bound by any compromise or settlement offer made by Staff and may order the payment of a civil administrative penalty up to the maximum permitted by law. In determining the appropriate penalty amount to be assessed, the Board must consider the factors enumerated in N.J.A.C. 14:2-6.2(c), including but not limited to the nature, circumstances and gravity of the violation, history of prior offenses, the degree of the violator's culpability and any other factors as justice may require.

#### **DISCUSSION AND FINDINGS**

The Board, having thoroughly reviewed the record in this matter, <u>HEREBY FINDS</u> that the NOPV issued by Staff was reasonable and in the public interest. The Board <u>FURTHER</u> <u>FINDS</u> that the Respondent was advised that failure to appear at the May 25, 2023 settlement conference would result in a default as provided in N.J.A.C. 14:2-6.6(a), and the allegations provided in the NOPV would be deemed uncontested.

Black Rock failed to appear on May 25, 2023, and to date, Staff has no record of a response from Respondent concerning the Notice to Appear. As such, the Board <u>HEREBY</u> <u>FINDS</u> that the Respondent is in default pursuant to N.J.A.C. 14:2-6.6(a). As Respondent is deemed in default, the Board is not bound by the initial Offer of Settlement. After consideration of the penalty factors listed in N.J.A.C. 14:2-6.2(c), specifically 1) the nature of the violation was a causal factor directly related to the damage, and 2) the damage involved a high consequence natural gas facility struck by mechanized equipment, the Board <u>HEREBY FINDS</u> that the appropriate penalty for this matter is \$8,000.

As such, the Board <u>HEREBY</u> <u>ISSUES</u> this FOPA and <u>HEREBY</u> <u>ORDERS</u> that the Respondent shall be assessed a Civil Administrative Penalty of \$8,000. The Board <u>FURTHER</u> <u>ORDERS</u> that the Respondent shall pay the Civil Administrative Penalty as set forth in this Order no later than October 14, 2023.

Payment must be made out to the **TREASURER**, **STATE OF NEW JERSEY** 

Send payment to: Chief Fiscal Officer Board of Public Utilities 44 South Clinton Avenue, 1st Floor Post Office Box 350 Trenton, New Jersey 08625 Attn: One-Call Enforcement

Please include a copy of this Order with your payment.

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If the Respondent fails to make the required payment by October 14, 2023, the Board <u>HEREBY</u> <u>DIRECTS</u> Board Staff to docket this Order against the Respondent as a Judgment with the New Jersey Superior Court or transfer the unpaid debt to the Department of Treasury for the issuance of a Certificate of Debt pursuant to N.J.S.A. 2A:16-11.1.

This Order shall be effective on October 4, 2023.

DATED: September 27, 2023

BOARD OF PUBLIC UTILITIES BY:

DR. ZENON CHRISTODOULOU

GUHL-SADOVY PRESIDENT

nl on

MARY-ANNA HOLDEN COMMISSIONER

COMMISSIONER

MAŘIAN ABDÓU COMMISSIONER

ATTEST:

SHERRI L. GOLDEN SECRETARY

I HEREBY CERTIFY that the within document is a true copy of the original in the files of the Board of Public Utilities.

# IN THE MATTER OF ALLEGED VIOLATIONS OF THE UNDERGROUND FACILITY PROTECTION ACT, N.J.S.A. 48:2-73 TO 91 BY BLACK ROCK ENTERPRISES, LLC

#### DOCKET NO. GS23080563K

#### SERVICE LIST

Jacqueline Vale Black Rock Enterprises, LLC 1316 Englishtown Rd Old Bridge, NJ 08857

#### Attorney General's Office

Matko Ilic, DAG NJ Department of Law and Public Safety Richard J. Hughes Justice Complex 25 Market Street – P.O. Box 112 Trenton, NJ 08625 <u>matko.ilic@law.njoaq.gov</u>

#### **Board of Public Utilities**

44 South Clinton Avenue, 1<sup>st</sup> Floor PO Box 350 Trenton NJ, 08625-0350

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Stacy Peterson, Deputy Executive Director stacy.peterson@bpu.nj.gov

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#### Case #: GOC2022-1325

State of New Jersey BOARD OF PUBLIC UTILITIES DIVISION OF RELIABILITY & SECURITY 44 South Clinton Avenue, 1\* Floor PO Box 350 Trenton, NJ 08625-0350

In the Matter of:

12/21/2022

Black Rock Enterprises LLC 1360 Englishtown Rd South Brunswick, NJ 08857-0000

NOTICE OF PROBABLE VIOLATION AND OFFER OF SETTLEMENT

DATE OF PROBABLE VIOLATION: 10/13/2022 LOCATION: 1 Brookside Circle, Marlboro, NJ 07746 OPERATOR OF THE FACILITY: NJ NATURAL GAS BRIEF DESCRIPTION OF POSSIBLE VIOLATION: FAILURE TO USE REASONABLE CARE MARKOUT REQUEST NO.:222492441 STATUTE, REGULATION, OR ORDER VIOLATED: N.J.S.A. 48:2-82(d)(3)

Case #: GOC2022-1325

#### To Whom it May Concern:

The Board of Public Utilities ("Board") has jurisdiction to oversee and enforce the provisions of the Underground Facility Protection Act ("Act"), **N.J.S.A. 48:2-73 et seq.** The Act requires excavators to notify the NJ One-Call Center prior to excavating ("One Call Obligation"). Violators of the Act are subject to a civil penalty of not less than \$1,000 and not more than \$2,500 per violation per day. The civil penalty for a related series of violations may not exceed \$25,000. If the violation of the Act involves natural gas facilities, the maximum penalties are \$200,000 per violation per day, with a total maximum of \$2,000,000 for a related series of violations.

Board Staff has reviewed information received in connection with a complaint concerning an Excavation, as defined in N.J.S.A. **48:2-75**, undertaken by **Black Rock Enterprises LLC** ("Respondent") ("You") without fulfilling the One Call Obligation.

Specifically, the information reviewed included: (1) Operator reports submitted by Utilities pursuant to **N.J.A.C. 14:2-4.4**; and (2) New Jersey One Call ticket records; A Certification by **ANN LANG**, is attached hereto.

In this case, it has been determined that you failed to use reasonable care during excavation or demolition to avoid damage to or interference with underground facilities in violation of N.J.S.A. 48:2-82(d)(3) and N.J.A.C. 14:2-3.3(a)(3).

#### Case #: GOC2022-1325

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GOC2	2022-0037	1/18/2022	Silver, NJ	3/23/2022	N.J.S.A. 48:2-82(a)	Yes
GOC2	2016-0380	4/18/2016	Farrington Ave, East Brunswick Township, NJ	3/8/2019	N.J.S.A. 48:2- 82(d)(3)	Yes
0000	2022-1432	10/27/2022	306 2nd Ave, Bradley Beach, NJ	12/16/2022	N.J.S.A. 48:2- 82(d)(3)	No
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### Case #s: GOC2022-0037, GOC2022-1325, GOC2022-1432

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